

Applicant/Participant details:

Company (name):

Registered address:

Contact person:

Full name:

Telephone:

Email:

Receiver:

Krajowy Depozyt
Papierów Wartościowych S.A.

Application for conclusion of a Derivatives Trade Repository participation agreement

I. We apply for the status of Derivatives Trade Repository participant with the following type of participation:

/one type of participation may be selected/

general reporting participant */in the case of a GUR, a declaration is required on the reporting of transactions or positions, only one option may be chosen/*

and we declare that

we will report on behalf of no more than 3 counterparties and the reports will cover no more than 1,000 transactions or positions in any calendar month

we will report on behalf of no more than 100 counterparties and the reports will cover no more than 200 transactions or positions in any calendar month

dwe declare that we will report high volumes of transactions or positions in accordance with point 1.5 of the Tables of Fees attached to the Derivatives Trade Repository Rules

none of the above

ordinary reporting participant

individual reporting participant

indirect repository participant

II. We declare that we have read and understood the Derivatives Trade Repository Rules and the information on how to exercise our participation rights, as posted on the KDPW website, and we undertake to comply with them.

III. We agree to report in accordance with the requirements for the scope, time limits and format of data reported to the trade repository as set out in the applicable legislation and documents published by ESMA pursuant to such legislation.

IV. We declare that within the meaning of the Council Implementing Regulation (EU) No 282/2011 of 15 March 2011 laying down implementing measures for Directive 2006/112/EC on the common system of value added tax (Official Journal L 77 of 23 March 2011, as amended), we have a place of establishment/fixed establishment¹ for which the service is purchased on the territory of:
/enter country of tax jurisdiction for the purchased service/

¹ within the meaning of Council Implementing Regulation (EU) No 282/2011 of 15 March 2011 laying down implementing measures for Directive 2006/112/EC on the common system of value added tax

V. We declare that for the purposes of value added tax the Applicant has been assigned a tax identification number:

VI. We declare that we hold LEI:

VII. We declare that: /select the relevant option/

we will transfer to KDPW transactions previously reported to: /trade repository name/

we will not transfer to KDPW transactions previously reported to: /trade repository name/

we have not reported transactions to any trade repository.

VIII. We declare that, within the meaning of Regulation (EU) No 648/2012 of the European Parliament and of the Council of 4 July 2012 on OTC derivatives, central counterparties and trade repositories, we have the following counterparty status: /select as appropriate/

Financial Counterparty

Non-Financial Counterparty

CCP

other

IX. We declare that in relation to the persons whose personal data has been included in the content of this form, the obligations required by law have been fulfilled, including the information clause concerning the manner in which the KDPW processes personal data has been provided to such persons.

X. We declare that:

the documents attached hereto will be sent to KDPW by email by /full name/

from the email address:

to the email address repository@kdpw.pl

Enclosures: /select as appropriate/

an extract from the relevant register or, if the applicant is not required to be entered in the relevant register, an extract from the register of economic activities or any other document that identifies the applicant;

copies of powers of attorney and specimen signatures of the applicant's duly authorised representatives if the right to represent the applicant does not derive from the document referred to in point 1

If the declaration referred to in point X has not been submitted, the attachments must be sent to KDPW's mailing address.

/handwritten signature or qualified electronic signature of the applicant's
duly authorised representative/

/full name or name stamp/

/handwritten signature or qualified electronic signature of the applicant's
duly authorised representative/

/full name or name stamp/

The application must be submitted in writing (a hand-signed original) to KDPW's mailing address or in electronic form (a pdf document with qualified electronic signatures) to the following email address: repository@kdpw.pl