

Full Name of the entity¹:

LEI if available:

Website of the entity:

Address:

Street and building number:

City + Zip code:

Country code:

Contact person:

Name and surname:

Position:

E-mail:

Phone number:

Receiver:

Krajowy Depozyt Papierów Wartościowych
4 Książęca Street
00-498 Warsaw
Poland (PL)

Application form for granting access to the Repository's data for the supervisory authority

I declare that I represent name of the supervisory body, hereinafter „Supervisor” or „Applicant”

and acting within the powers and duties of that body, based on provide the legal basis from Regulation (EU) 648/2012²
and Regulation (EU) 151/2013³

I request the establishment of access to KDPW Trade Repository data for derivatives in accordance with the mandates set out in
letters below.

The applicant is obliged to inform the Repository each time about a change in their rights and obligations, resulting in limiting
the scope of access to the Repository's data.

1. Type of supervisory entity and scope of its mandate: tick 'X' where appropriate

| Entity listed in Article 81(3) EMIR | Details Please indicate each of the mandates that in your view allow you access to data and the relation between such mandate and the data requested. In the comments section please identify the legal instrument or enabling legislation in your jurisdiction that sets out the relevant mandate. Enter max. 600 characters, if you need to enter longer text, please add a comment in section 4. |
|-------------------------------------|---|
| (A) ESMA | |

¹ with English translation where appropriate

² Regulation (EU) No 648/2012 of the European Parliament and of the Council of 4 July 2012 on OTC derivatives, central counterparties and trade repositories

³ Commission Delegated Regulation (EU) No 151/2013 of 19 December 2012 supplementing Regulation (EU) No 648/2012 of the European Parliament and of the Council on OTC derivatives, central counterparties and trade repositories, with regard to regulatory technical standards specifying the data to be published and made available by trade repositories and operational standards for aggregating, comparing and accessing the data.

Entity listed in Article 81(3) EMIR

Details Please indicate each of the mandates that in your view allow you access to data and the relation between such mandate and the data requested. In the comments section please identify the legal instrument or enabling legislation in your jurisdiction that sets out the relevant mandate. Enter max. 600 characters, if you need to enter longer text, please add a comment in section 4.

(B) EBA

(C) EIOPA

(D) The ESRB

(E) The competent authority supervising CCPs accessing the trade repositories

(F) The competent authority supervising the trading venues where the reported derivatives were concluded

(G1) A member of the ESCB, whose currency is the euro

(G2) A member of the ESCB, whose currency is not the euro

(G3) The ECB

Entity listed in Article 81(3) EMIR

Details Please indicate each of the mandates that in your view allow you access to data and the relation between such mandate and the data requested. In the comments section please identify the legal instrument or enabling legislation in your jurisdiction that sets out the relevant mandate. Enter max. 600 characters, if you need to enter longer text, please add a comment in section 4.

(H) The relevant authorities of a third country that has entered into an international agreement with the Union as referred to in Article 75

(I) Supervisory authorities designated under Article 4 of Directive 2004/25/EC of the European Parliament and of the Council

(J) The relevant European Union securities and market authorities whose respective supervisory responsibilities and mandate cover contracts, markets, benchmarks, participants and underlying which fall within the scope of EMIR

(K) The relevant authorities of a third country that has entered into a cooperation arrangement with ESMA, as referred to in Article 76

(L) The Authority for the Cooperation of Energy Regulators established by Regulations (EC) No 713/2009 of the European Parliament and of the Council

(M) The resolution authorities designated under Article 3 of Directive 2014/59/EU of the European Parliament and the Council

(N) The Single Resolution Board established by Regulation (EU) No 806/2014

(O) Competent authorities or national competent authorities within the meaning of Regulations (EU) No 1024/2013 and (EU) No 909/2014 and of Directives 2003/41/EC, 2001/61/EU, 2013/36/EU and, 2014/65/EU and supervisory authorities within the meaning of Directive 2009/138/EC

Entity listed in Article 81(3) EMIR

Details Please indicate each of the mandates that in your view allow you access to data and the relation between such mandate and the data requested. In the comments section please identify the legal instrument or enabling legislation in your jurisdiction that sets out the relevant mandate. Enter max. 600 characters, if you need to enter longer text, please add a comment in section 4.

(P) The competent authorities designated in accordance with Article 10(5) of EMIR Regulation

(Q) The relevant authorities of a third country in respect of which an implementing act pursuant to Article 76a has been adopted.

(R) The Financial Stability authority, listed in Article 81(3) of Regulation (EU) No 648/2012, that monitors systemic risks to financial stability in the euro area and whose Member State's currency is the euro, including the ECB

2. Relevant data fields for filtering

Category**Data details**

Enter max. 200 characters, if you need to enter longer text, please add a comment in section 4.

the entity is competent for counterparties in its Member State, the euro area or the European Union

the types of counterparties for which the entity is competent as per the taxonomyⁱ

types of underlyings to derivatives for which the authority is competent

the trading venues that are supervised by the entity, if any

the CCPs that are supervised or overseen by the entity, if any

the currency that is issued by the entity, if any

| Category | Data details Enter max. 200 characters, if you need to enter longer text, please add a comment in section 4. |
|--|---|
| delivery and interconnection points | |
| benchmarks used in the Union, for whose administrator the entity is competent | |
| characteristics of underlyings that are supervised by that entity | |
| Relevant clearing members, brokers, reference entities | |
| types of derivatives for which the authority is competent /based on Asset Class field/ | |
| types of commodity derivatives for which the authority is competent /based on Commodity base field/, if applicable | |

Taxonomy for Financial Counterparties:

'INVF' - Investment firm authorized in accordance with Directive 2014/65/EU of the European Parliament and of the Council

'CDTI' - Credit institution authorised in accordance with Directive 2013/36/EU of the European Parliament and of the Council

'INUN' - an insurance undertaking or reinsurance undertaking authorised in accordance with Directive 2009/138/EC of the European Parliament and of the Council

'UCIT' - a UCITS and, where relevant, its management company, authorised in accordance with Directive 2009/65/EC of the European Parliament and of the Council, unless that UCITS is set up exclusively for the purpose of serving one or more employee share purchase plans

'ORPI' - an institution for occupational retirement provision (IORP), as defined in point (1) of Article 6 of Directive (EU) 2016/2341 of the European Parliament and of the Council

'AIFD' - an alternative investment fund (AIF), as defined in point (a) of Article 4(1) of Directive 2011/61/EU of the European Parliament and of the Council, which is either established in the Union or managed by an alternative investment fund manager (AIFM) authorised or registered in accordance with that Directive, unless that AIF is set up exclusively for the purpose of serving one or more employee share purchase plans, or unless that AIF is a securitisation special purpose entity as referred to in point (g) of Article 2(3) of Directive 2011/61/EU, and, where relevant, its AIFM established in the Union

'CSDS' - a central securities depository authorised in accordance with Regulation (EU) No 909/2014 of the European Parliament and of the Council

Taxonomy for Non-Financial Counterparties (NACE classification):

'A' - Agriculture, forestry and fishing

'B' - Mining and quarrying

'C' - Manufacturing

'D' - Electricity, gas, steam and air conditioning supply

'E' - Water supply, sewerage, waste management and remediation activities

'F' - Construction

'G' - Wholesale and retail trade, repair of motor vehicles and motorcycles

'H' - Transportation and storage

'I' - Accommodation and food service activities

'J' - Information and communication

'K' - Financial and insurance activities

'L' - Real estate activities

'M' - Professional, scientific and technical activities

'N' - Administrative and support service activities

'O' - Public administration and defence; compulsory social security

'P' - Education

'Q' - Human health and social work activities

'R' - Arts, entertainment and recreation

'S' - Other service activities

'T' - Activities of households as employers; undifferentiated goods - and services - producing activities of households for own use

'U' - Activities of extraterritorial organizations and bodies

3. Technical information

credentials for a secure SSH FTP connection⁴:

TRACE identification code and certificate name:

any other technical information relevant to the entity's access to details of derivatives⁵:

4. Comments Please enter here any additional information you may require

Contact details for TR data user */or team/* at the entity listed under Article 81(3) EMIR to receive important notifications

Contact name:

Email address:

Phone number:

Authorised Signatory:

Name:

Title:

Signature:

Date:

Attachments⁶: list the documents attached to the application

⁴ IP addresses (IP address range) that will be used by supervisory authority to connect to KDPW_TR SFTP server and supervisory authority public key

⁵ e.g. types of reports and the frequency of their generation over the SFTP channel

⁶ e.g. documents confirming the rights of the person signing the application to represent the supervisory authority