KDPW | TR

System KDPW_TR

Message: SFTR - Entity relations message (auth.rlt.001.02)

Creation date: 30.11.2023

Description:

SFTR -Message used to define relations between RSE and RCs

Structure:

Pos	Or	‹ XML Tag ›	Name	Multiplicity	Type
0		Document	Document	[1..1]	Document
0.1		RltnDataMsg	Entity relations message	[1..1]	EntityRelationsDataMessage
1		GnlInf	General information	[1..1]	GeneralInformation
1.1		RptSubmitgNtty	Report submitting entity Id	[1..1]	LEIIdentifier
2		RltnData	Relations	[1..n]	EntityRelationsData
2.1		TechRcrdId	Technical Record Id	[1..1]	Max140Text
2.2	{ or	New	New client data	[1..1]	ClientReferenceData
2.2.1		RcId	Counterparty id	[1..1]	LEIIdentifier
2.2.2		EmailAdr	Email address	[1..1]	EmailAddress
2.2.3		RcNtr	Counterparty nature	[1..1]	CounterpartyNature
2.2.3.1	{ or	RcFcNtr	Financial counterparty	[1..1]	FinancialNature
2.2.3.1.1		RcIsFund	RC is investment fund	[1..1]	YesNoIndicator
2.2.3.2	or }	RcNfcNtr	Nonfinancial counterparty	[1..1]	NonFinancialNature
2.2.3.2.1		RcNfcNtrCd	Nonfinancial counterparty nature	[1..1]	CounterPartyNonfinancialTypeCode
2.2.4		RcRelTp	RC relation type	[0..1]	RCRelationType
2.2.4.1	{ or	RcMngFund	RC is investment funds managed by RSE or by Entity from capital group	[1..1]	YesNoIndicator
2.2.4.2	or }	RcCptlGrp	RC belongs to the same capital group as RSE	[1..1]	YesNoIndicator
2.3	or }	Cxl	Relation cancellation	[1..1]	RelationCancellationData
2.3.1		RcId	Counterparty id	[1..1]	LEIIdentifier

Message components:

Document- Document (element)

Description Document

Type Document

Source ‹xs:element name="Document" type="Document" /›

ClientReferenceData- Client data (complex type)

Description Client reference data

Components RcId
EmailAdr{1,1}
RcNtr
RcRelTp{0,1}

Source

```
‹xs:complexType name="ClientReferenceData" ›
  ‹xs:sequence ›
    ‹xs:element name="RcId" type="LEIIdentifier" /›
    ‹xs:element name="EmailAdr" type="EmailAddress" minOccurs="1" maxOccurs="1" /›
    ‹xs:element name="RcNtr" type="CounterpartyNature" /›
    ‹xs:element name="RcRelTp" type="RCRelationType" minOccurs="0" /›
  ‹/xs:sequence›
‹/xs:complexType›
```

ClientReferenceData/RcId- Counterparty id (element)

Description Unique code identifying the counterparty

Type LEIIdentifier

Source

```
‹xs:element name="RcId" type="LEIIdentifier" /›
```

ClientReferenceData/EmailAdr- Email address (element)

Description Email address.

Type EmailAddress

Source

```
‹xs:element name="EmailAdr" type="EmailAddress" minOccurs="1" maxOccurs="1" /›
```

ClientReferenceData/RcNtr- Counterparty nature (element)

Description Nature of the counterparty

Type CounterpartyNature

Source

```
‹xs:element name="RcNtr" type="CounterpartyNature" /›
```

ClientReferenceData/RcRelTp- RC relation type (element)

Description RC relation type

Type RCRelationType

Source

```
‹xs:element name="RcRelTp" type="RCRelationType" minOccurs="0" /›
```

CounterpartyNature- Counterparty nature (complex type)

Description Counterparty nature

Components RcFcNtr
RcNfcNtr

Source

```
<xs:complexType name="CounterpartyNature" >
  <xs:sequence >
    <xs:choice >
      <xs:element name="RcFcNtr" type="FinancialNature" />
      <xs:element name="RcNfcNtr" type="NonFinancialNature" />
    </xs:choice>
  </xs:sequence>
</xs:complexType>
```

CounterpartyNature/RcFcNtr- Financial counterparty (element)

Description Financial counterparty

Type FinancialNature

Source

```
<xs:element name="RcFcNtr" type="FinancialNature" />
```

CounterpartyNature/RcNfcNtr- Nonfinancial counterparty (element)

Description Nonfinancial counterparty

Type NonFinancialNature

Source

```
<xs:element name="RcNfcNtr" type="NonFinancialNature" />
```

CounterPartyNonfinancialTypeCode- Party type code (simple type)

Description Party type code

Type Derived from: xs:string

Format

Code	Description
SME	Nonfinancial minus
LRG	Nonfinancial plus

Source

```
<xs:simpleType name="CounterPartyNonfinancialTypeCode" >
  <xs:restriction base="xs:string" >
    <xs:enumeration value="SME" />
    <xs:enumeration value="LRG" />
  </xs:restriction>
</xs:simpleType>
```

Document- Document (complex type)

Description Document

Components RltnDataMsg

Source

```
<xs:complexType name="Document" >
  <xs:sequence >
    <xs:element name="RltnDataMsg" type="EntityRelationsDataMessage" />
  </xs:sequence>
</xs:complexType>
```

Document/RltnDataMsg- Entity relations message (element)

Description Entity relations message

Type EntityRelationsDataMessage

Source

```
<xs:element name="RltnDataMsg" type="EntityRelationsDataMessage" />
```

EmailAddress- EmailAddress (simple type)

Description Email address

Type Derived from: xs:string

Format Max. length=256
xs:pattern=[a-zA-Z0-9._%+-]+@[a-zA-Z0-9.-]+\.[a-zA-Z]{2,}

Source

```
<xs:simpleType name="EmailAddress" >
  <xs:restriction base="xs:string" >
    <xs:maxLength value="256" />
    <xs:pattern value="[a-zA-Z0-9._%+-]+@[a-zA-Z0-9.-]+\.[a-zA-Z]{2,}" />
  </xs:restriction>
</xs:simpleType>
```

EntityRelationsData- Client data (complex type)

Description Client data

Components TechRcrdId
New
Cxl

Source

```
<xs:complexType name="EntityRelationsData" >
  <xs:sequence >
    <xs:element name="TechRcrdId" type="Max140Text" />
    <xs:choice >
      <xs:element name="New" type="ClientReferenceData" />
      <xs:element name="Cxl" type="RelationCancellationData" />
    </xs:choice>
  </xs:sequence>
</xs:complexType>
```

EntityRelationsData/TechRcrdId- Technical Record Id (element)

Description Technical Record Id

Type Max140Text

Source

```
<xs:element name="TechRcrdId" type="Max140Text" />
```

EntityRelationsData/New- New client data (element)

Description New client data

Type ClientReferenceData

Source

```
<xs:element name="New" type="ClientReferenceData" />
```

EntityRelationsData/Cxl- Relation cancellation (element)

Description Relation cancellation

Type RelationCancellationData

Source

```
<xs:element name="Cxl" type="RelationCancellationData" />
```

EntityRelationsDataMessage- Entity relations message (complex type)

Description Entity relations message

Components GnlInf
RltnData{1,unbounded}

Source

```
<xs:complexType name="EntityRelationsDataMessage" >
  <xs:sequence >
    <xs:element name="GnlInf" type="GeneralInformation" />
    <xs:element name="RltnData" type="EntityRelationsData" maxOccurs="unbounded" />
  </xs:sequence>
</xs:complexType>
```

EntityRelationsDataMessage/GnlInf- General information (element)

Description General information

Type GeneralInformation

Source

```
<xs:element name="GnlInf" type="GeneralInformation" />
```

EntityRelationsDataMessage/RltnData- Relations (element)

Description Relations

Type EntityRelationsData

Source

```
<xs:element name="RltnData" type="EntityRelationsData" maxOccurs="unbounded" />
```

FinancialNature- Financial nature (complex type)

Description Financial nature

Components RcIsFund

Source

```
<xs:complexType name="FinancialNature" >
  <xs:sequence >
    <xs:element name="RcIsFund" type="YesNoIndicator" />
  </xs:sequence>
</xs:complexType>
```

FinancialNature/RcIsFund- RC is investment fund (element)

Description RC is investment fund

Type YesNoIndicator

Source

```
<xs:element name="RcIsFund" type="YesNoIndicator" />
```

GeneralInformation- General information (complex type)

Description General information

Components RptSubmitgNtty

Source

```
<xs:complexType name="GeneralInformation" >
  <xs:sequence >
    <xs:element name="RptSubmitgNtty" type="LEIIdentifier" />
  </xs:sequence>
</xs:complexType>
```

GeneralInformation/RptSubmitgNtty- Report submitting entity Id (element)

Description Report submitting entity Id

Type LEIIdentifier

Source

```
<xs:element name="RptSubmitgNtty" type="LEIIdentifier" />
```

ISODate- ISODate (simple type)

Description ISO date

Type Derived from: xs:date

Format

Source

```
<xs:simpleType name="ISODate" >
  <xs:restriction base="xs:date" >
  </xs:restriction>
</xs:simpleType>
```

LEIIdentifier- LEIIdentifier (simple type)

Description Legal Entity Identifier is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

Type Derived from: xs:string

Format xs:pattern=[A-Z0-9]{18,18}[0-9]{2,2}

Source

```
<xs:simpleType name="LEIIdentifier" >
  <xs:restriction base="xs:string" >
    <xs:pattern value="[A-Z0-9]{18,18}[0-9]{2,2}" />
  </xs:restriction>
</xs:simpleType>
```

Max140Text- Max140Text (simple type)

Description Specifies a character string with a maximum length of 140 characters.

Type Derived from: xs:string

Format Min. length=1
Max. length=140

Source

```
<xs:simpleType name="Max140Text" >
  <xs:restriction base="xs:string" >
    <xs:minLength value="1" />
    <xs:maxLength value="140" />
  </xs:restriction>
</xs:simpleType>
```

NonFinancialNature- Nonfinancial nature (complex type)

Description Nonfinancial nature

Components RcNfcNtrCd

Source

```
<xs:complexType name="NonFinancialNature" >
  <xs:sequence >
    <xs:element name="RcNfcNtrCd" type="CounterPartyNonfinancialTypeCode" />
  </xs:sequence>
</xs:complexType>
```

NonFinancialNature/RcNfcNtrCd- Nonfinancial counterparty nature (element)

Description Nonfinancial counterparty nature

Type CounterPartyNonfinancialTypeCode

Source

```
<xs:element name="RcNfcNtrCd" type="CounterPartyNonfinancialTypeCode" />
```

RCRelationType- Relation Type between RC and RSE (complex type)

Description Relation Type between RC and RSE

Components RcMngFund
RcCptlGrp

Source

```
<xs:complexType name="RCRelationType" >
  <xs:sequence >
    <xs:choice >
      <xs:element name="RcMngFund" type="YesNoIndicator" />
      <xs:element name="RcCptlGrp" type="YesNoIndicator" />
    </xs:choice>
  </xs:sequence>
</xs:complexType>
```

RCRelationType/RcMngFund- RC is investment funds managed by RSE or by Entity from capital group (element)

Description RC is investment funds managed by RSE or by Entity from capital group

Type YesNoIndicator

Source
```
<xs:element name="RcMngFund" type="YesNoIndicator" />
```

RCRelationType/RcCptlGrp- RC belongs to the same capital group as RSE (element)

Description RC belongs to the same capital group as RSE

Type YesNoIndicator

Source
```
<xs:element name="RcCptlGrp" type="YesNoIndicator" />
```

RelationCancellationData- Relation cancellation (complex type)

Description Relation cancellation

Components RcId

Source
```
<xs:complexType name="RelationCancellationData" >
  <xs:sequence >
    <xs:element name="RcId" type="LEIIdentifier" />
  </xs:sequence>
</xs:complexType>
```

RelationCancellationData/RcId- Counterparty id (element)

Description Unique code identifying the counterparty

Type LEIIdentifier

Source
```
<xs:element name="RcId" type="LEIIdentifier" />
```

YesNoIndicator- YesNoIndicator (simple type)

Description Indicates a "Yes" or "No" type of answer for an element.

Type Derived from: xs:boolean

Format

Source
```
<xs:simpleType name="YesNoIndicator" >
  <xs:restriction base="xs:boolean" >
  </xs:restriction>
</xs:simpleType>
```