

**Resolution No. 20/1029/24**  
**of the KDPW Supervisory Board of**  
**of 17 April 2024**

**relating to amendments to Resolution No. 30/1009/23**

Acting in accordance with Article 50 subpara. 1 of the Law on Trading in financial instruments of 29 July 2005, as well as § 20, subpara.2 (6) of the KDPW Articles of Association, the KDPW Supervisory Board has resolved the following:

**§ 1**

Resolution No. 30/1009/23 of the KDPW Supervisory Board of 13 December 2023 shall be amended as follows:

**1/** § 1 point 12 shall be amended as follows:

“12/ In § 65, subpara. 3 shall be amended as follows:

“3. The application for the conclusion of an agreement for the registration in the depository of: bonds issued in accordance with the provisions of the Bond Act of 15 January 2015 (consolidated text - Dz. U (Journal of Laws) 2022, item 2244, as amended); of mortgage bonds issued in accordance with the provisions of the Law on Mortgage bonds and mortgage banks of 29 August 1997 (consolidated text - Dz. U (Journal of Laws) 2023, item 110) , which were not issued as part of a public offering and for which their issuer does not intend to apply for their admittance to trading in the regulated market, or for their introduction to an alternative trading system; or investment certificates issued by a closed-end investment fund, which is not a public closed investment fund; such an application for the conclusion of a registration agreement may only be submitted using a dedicated internet application. Other obligations, mortgage bonds or investment certificates may be included in the application for the conclusion of a registration agreement submitted in this manner, should the circumstances described in Art. 7a of the Law on Trading in financial instruments arise, or if the issuer so decides.”;

**2/** § 1 point 16 shall be repealed;

**3/** In § 1, point 22 (d) shall be amended as follows:

“d/ in the section entitled: “Fees charged to issuers and payment agents” point 2.3.1 and 2.3.1.1 shall be amended as follows:

	<b>Fee types and amount</b>	<b>Rules for calculating and charging fees</b>
“2.3.1.	For structured certificates or bank derivatives --- 0.05% of the value of the amounts transferred, however, not less than 200 PLN and not more than 15 000 PLN,  However:	
2.3.1.1.	If the issuer of structured certificates or bank derivatives has sent information to KDPW	

	indicating the amount of the payment and also days D and W in a manner other than by means of a dedicated internet application, on condition that failure to use the application was solely attributable to the issuer --- 0.075% of the value of the amounts transferred, however, not less than 300 PL and not more than 22 000 PLN.	
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**§ 2**

This Resolution shall enter into force on the date of its approval.

Chairman of the KDPW Supervisory Board

Adrian Kalisz